

**International Renaissance Foundation
in cooperation with the Open Society Foundations
and Eastern Partnership Civil Society Forum**

European Integration Index for the Eastern Partnership Countries

2013 edition

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I. Linkage Dimension

		Indicator/ data	Source
1. Political Dialogue			
<i>1.1 Bilateral institutions</i>			
§	Annual Summit		PCA, Official sources, media
	1. Is there an annual Summit provided in the PCA?	Yes/No	
	2. Was there an annual Summit in 2012?	Yes/No	
§	Cooperation Council		PCA, country expert
	1. Is there an annual Cooperation Council provided in the PCA?	Yes/No	
	2. Was there a Cooperation Council meeting in 2012?	Yes/No	
§	Cooperation Committee		PCA, Official sources, media
	1. Is there an annual Cooperation Committee provided in the PCA?	Yes/No	
	2. Was there a Cooperation Committee meeting in 2012?	Yes/No	
§	Sub-committees		PCA, Official sources, media
	1. What is the number of Sub-committees?	Number of sub-committees	
	2. What was the number of meetings of Sub-committees in 2012?	Number of meetings	
§	Parliamentary Cooperation Committee		Official sources, media
	1. What is the number of MPs from both sides involved?	Number of MPs	
	2. What was the number of Parliamentary Cooperation Committee meetings in 2012?	Number of meetings	
§	Did regular sectoral ministerial meetings with the EU take place in 2012?	Yes/No	Official sources
§	Human Rights meetings between your government and the EU		
	1. What is the format of dedicated Human Rights meetings between your government and the EU (Human Rights dialog or a HR expert meetings, or just a dedicated meeting	Active dialogue/ Suspended dialogue	Official sources (guidelines for scoring: 1.00 point for active

	within Justice, Freedom and Security (JFS) sub-committee)?		dialogue: 0,25 for suspended dialogue; option 0,5 point - dedicated (JFS) sub-committee)
	2. How many meetings were held within Justice, Freedom and Security (JFS) sub-committee in 2012?	Number of meetings	Official sources, media
§	How many visits of your country officials (President, Prime-Minister, Foreign Minister) to Brussels and Strasbourg (e.g. to give a speech in the European Parliament) - apart from Summits, Cooperation Councils and other regular meetings within bilateral institutions take took place in 2012?	Number of accumulative visits	Official sources, media
§	How many visits of EU officials to your country (President of the European Council; President of the European Commission; President of the European Parliament, High Representative, Commissioners) take took place in 2012?	Number of accumulative visits	Official sources, media
§	How many EEAS Statements on your country (High Representative's declarations on behalf of the EU; HR statements; statements by the Spokesperson of the HR; local EU Delegations statements) were issued in 2012?	Number of statements	EEAS web-page
§	How many political parties from your country are members or observer of the European political parties that have groups in the EP (EPP, PES, ELDR, EDP, EGP, EFA, AECR, ECPM, PEL)?	Number of parties from EaP countries	Informational resources of political parties and EP groups, media
1.2 Multilateral institutions and Eastern Partnership			
§	Was your country represented at the 2011 bi-annual Summit?	Participation - Yes/No	Official sources, media
§	Was your country represented at the 2012 annual meeting of foreign ministers?	Participation - Yes/No	Official sources, media
§	Was your country represented in the four thematic platforms in 2012 (twice a year)?	Yes/No	Official sources, media, interviews
§	Eastern Partnership Civil Society Forum		Official sources
	1. Was you country represented at the	Yes/No	

	Eastern Partnership Civil Society Forum in 2012?		
	2. Is there a National Platform of the Civil Society Forum in your country?	Yes/No	
§	Was your country represented at the 2012 EURONEST meeting?	Yes/No	Official sources
§	Was your country represented at the 2012 Assembly of Local and Regional Authorities meeting?	Yes/No	Official sources
§	Was your country represented at the 2012 Eastern Partnership Business Forum meeting? <i>(The last meeting was held on 30 September 2011, Sopot, Poland)</i>	Yes/No	Official sources
§	How many other multilateral formats (other than EaP, such as Black Sea Synergy, CEFTA, Danube Strategy, Northern Dimension) your country is taking part in?	Number and specify which	Country experts
1.3 CFSP/ESDP Cooperation			
§	Alignment with the EU CFSP statements		Official sources (EEAS)
	1. Does your country align with the EU CFSP statements?	Yes/No	
	2. How many statements did your country align with in 2012?	% of the total number of EU CFSP statements that are open to third countries	
§	Did your country participate in CSDP missions and operations in 2012?	Yes/No	Official sources, media
§	Was your country involved in CSDP consultations, training and exercises in 2012?	Yes/No	Official sources, interviews
§	Did your country hold official consultations with the EU Military Committee (EUMC) and The Political and Security Committee (PSC) in 2012?	Yes/No	Official sources, interviews

2. Trade and Economic Integration

2.1 Trade flows: goods

§	Share of commodity trade turnover with the EU, %	<p>Three-year moving average (last available: 2010-2012)</p> <p>Rank of <u>EU27</u> among country's main partners (last available 2011)</p>	<p>DG Trade http://ec.europa.eu/trade/creating-opportunities/bilateral-relations/countries-and-regions/ ; national statistics; UN ComTrade</p>
§	Share of commodity exports to the EU, %	<p>Three-year moving average (last available: 2010-2012)</p> <p>Rank of <u>EU27</u> among country's main partners (last available 2011)</p>	<p>DG Trade http://ec.europa.eu/trade/creating-opportunities/bilateral-relations/countries-and-regions/ ; national statistics; UN ComTrade</p>
§	Share of commodity imports from the EU, %	<p>Three-year moving average (last available: 2010-2012)</p> <p>Rank of <u>EU27</u> among country's main partners (last available 2011)</p>	<p>DG Trade http://ec.europa.eu/trade/creating-opportunities/bilateral-relations/countries-and-regions/ ; national statistics; UN ComTrade</p>
§	Share of country's commodity trade turnover in the EU trade, %	<p>Three-year moving average (last available: 2010-2012)</p>	<p>DG Trade http://ec.europa.eu/trade/creating-opportunities/bilateral-relations/countries-and-regions/ ; national statistics; UN ComTrade</p>

		Rank of <u>EU27</u> among country's main partners (last available 2012)	relations/countries-and-regions/
2.2 Trade Barriers: goods			
§	Is the country Member of the WTO?	Yes/No (If yes, please indicate the year of WTO accession If no, please indicate the year of WTO application)	www.wto.org
§	DCFTA		DG Trade http://ec.europa.eu/trade/creating-opportunities/bilateral-relations/countries-and-regions/
	1. Does the country have ratified / enacted with the EU?	Yes/No	
	2. Does the country have signed DCFTA with the EU?	Yes/No	
	3. Does the country have initiated DCFTA with the EU?	Yes/No	
	4. Does the country negotiate or have ever negotiated the DCFTA with the EU?	Yes/No	
§	Does the country have bilateral MFN with the EU?	Yes/No	DG Trade http://ec.europa.eu/trade/creating-opportunities/bilateral-relations/countries-and-regions/
§	Does the EU provide the GSP to the country?	Yes/No	DG Trade http://ec.europa.eu/trade/creating-opportunities/bilateral-relations/countries-and-regions/
§	Does the EU provide the GSP+ to the country?	Yes/No	DG Trade http://ec.europa.eu/trade/creating-opportunities/bilateral-relations/countries-and-regions/

			g- opportunities/b ilateral- relations/countr ies-and- regions/
§	Does the EU provide the ATP to the country?	Yes/No	DG Trade http://ec.europa.eu/trade/creating-opportunities/bilateral-relations/countries-and-regions/
§	Tariff rate on agricultural goods applied by the <u>state</u> regarding imports from the EU	%	Market Access Map http://www.intracen.org/marketanalysis/MACMap.aspx
§	Tariff rate on industrial goods applied by the <u>state</u> regarding imports from the EU	%	Market Access Map http://www.intracen.org/marketanalysis/MACMap.aspx
§	Tariff rate on agricultural goods applied by the <u>EU</u> regarding imports from the state	%	Market Access Map http://www.intracen.org/marketanalysis/MACMap.aspx
§	Tariff rate on industrial goods applied by the <u>EU</u> regarding imports from the state	%	Market Access Map http://www.intracen.org/marketanalysis/MACMap.aspx
§	What is the number of tariff lines subject to export duties in case of the state's exports to the EU?	Number of tariff lines (HS 6-digit)	National legislation, UN ComTrade
§	What is the share of exports subject to export duties in case of the state's exports to the EU?	% of state's exports to the EU	National legislation, UN ComTrade

2.3. Services			
§	Share of services trade turnover with the EU, %	last available three-year moving average or last available year (to be indicated in datasheet)	National statistics
§	Share of services exports to the EU, %	last available three-year moving average or last available year (to be indicated in datasheet)	National statistics
§	Share of services imports from the EU, %	last available three-year moving average or last available year (to be indicated in datasheet)	National statistics
§	Share of country's services trade turnover in the EU trade, %	last available three-year moving average or last available year (to be indicated in datasheet)	National statistics
2.4. Foreign Direct Investment			
§	Share of FDI from the EU, %	last available three-year moving average or last available year (to be indicated in datasheet)	National statistics
2.5. Trade Defense Instruments			
§	What is the number of trade disputes registered by the WTO with the country filing compliance against the EU?	Number of disputes	DG Trade, WTO
§	What is the number of trade disputes registered by the WTO with the EU filing compliance against the country?	Number of disputes	DG Trade, WTO
§	What is a number of final anti-dumping measures applied by the EU against the country?	Number of measures (as of April 2013)	DG Trade, EU delegation, see http://trade.ec.europa.eu/actions-against-eu-exporters/cases/index.cfm
§	What is a number of final safeguard measures applied by the EU against the country?	Number of measures (as of April 2013)	DG Trade, EU delegation, see http://trade.ec.europa.eu/actions-against-eu-

			exporters/cases/index.cfm
§	What is a number of final anti-dumping measures applied by the country against the EU?	Number of measures (as of April 2013)	DG Trade, EU delegation, see http://trade.ec.europa.eu/actions-against-eu-exporters/cases/index.cfm
§	What is a number of final safeguard measures applied by the country against the EU?	Number of measures (as of April 2013)	DG Trade, EU delegation, see http://trade.ec.europa.eu/actions-against-eu-exporters/cases/index.cfm
§	What is a number of new anti-dumping investigations launched by the EU against the country?	Number of investigations (as of April 2013)	DG Trade, EU delegation, see http://trade.ec.europa.eu/actions-against-eu-exporters/cases/index.cfm
§	What is a number of new safeguard investigations launched by the EU against the country?	Number of investigations (as of April 2013)	DG Trade, EU delegation, see http://trade.ec.europa.eu/actions-against-eu-exporters/cases/index.cfm
§	What is a number of new anti-dumping investigations launched by the country against the EU?	Number of investigations (as of April 2013)	DG Trade, EU delegation, see http://trade.ec.europa.eu/actions-against-eu-exporters/cases/index.cfm
§	What is a number of new safeguard investigations launched by the country against the EU?	Number of investigations (as of April 2013)	DG Trade, EU delegation, see http://trade.ec.europa.eu/actions-against-eu-exporters/cases/index.cfm
§	Are there trade / economic sanctions towards the country applied by the EU?	Yes/no	DG Trade

3. SECTORS			
3.1 Freedom, security and justice			
<i>3.1.1. Migration and asylum</i>			
§	Visa facilitation agreement	Yes/No	Ministry of Justice/ EU Delegation
§	Visa dialogue	Yes/No	Ministry of Justice/ EU Delegation
§	Visa liberalisation Action Plan	Yes/No	Ministry of Justice/ EU Delegation
§	Readmission agreement	Yes/No	Ministry of Justice/ EU Delegation
<i>3.1.2 Border management</i>			
§	Is there a working agreement with FRONTEX	Yes/No, Joint activities and operations	Ministry of Justice/ EU Delegation
§	Border crossing infrastructure – land border (Border Crossing Points)		SBGS
	1. Number of crossing points per total length of the border with the EU (km)	Density	
	2. Number of crossing points per total length of the border with non-EU neighbors (km)	Density	
§	Presence of EU border assistance missions	Yes/No	MFA
§	Surveillance of the "green" and "blue" borders	Yes/No	SBGS
<i>3.1.3. Security and combating organised crime</i>			
§	Is there a working agreement/arrangement with EUROPOL?	Yes/No	Ministry of Justice/ EU Delegation/ EUROPOL
§	Is your country on a “black list” of FATF?	Yes/No	Ministry of Justice/ EU Delegation
§	Cooperation with MONEYVAL	Yes/No	Ministry of Justice/ EU Delegation
§	Cooperation with FIUNET	Yes/No	Ministry of Justice/ EU Delegation

§	Cooperation with OLAF	Yes/No	Ministry of Justice/ EU Delegation
§	Cooperation with EMCDDA	Yes/No	Ministry of Justice/ EU Delegation
<i>3.1.4. Judicial cooperation: criminal and civil matters</i>			
§	Is there an agreement on cooperation with Eurojust?	Yes/No	Ministry of Justice/ EU Delegation
§	Is there International conventions on judicial cooperation in criminal matters (Lugano Convention, Regulation (EC) 1215/2012.)?	Yes/No	Ministry of Justice/ EU Delegation
§	Agreements (bilateral) with EU Member States (MSs) on judiciary and law enforcement	Number of agreements - total with all MSs	Ministry of Justice
3.2 Energy: trade and integration			
§	Share of energy export to the EU in the total export of each EaP country	% and in euro for data for 2012 (if not available - 2011)	Eurostat
§	Share of energy import from the EU in the total import of each EaP country	% and in euro for data for 2012 (if not available - 2011)	Eurostat
§	Importance for TEN-E	Number of cross-border and interconnection projects	European Commission
§	Signed Memorandum of Understanding (MoA) or other bilateral sectoral agreements	Yes/No	MFA/Ministry of Energy
§	Participation in key multilateral frameworks: Work Programme of the EaP Thematic Platform 3 “Energy Security”, Baku Initiative, INOGATE Programme, Black Sea Synergy	-Yes/No - Number of projects	Country experts
3.3 Transport: integration with Trans-European Networks			
§	Participation in Common Aviation Area	Yes/No	European Commission
§	Participation in Blue Belt pilot project	Yes/No	European Commission
§	Number of EU-EaP border connections	Share of EU-EaP connections in all TEN-T connections	National border and customs services
§	Participation in European transport	Share in total	Web-resources

	organizations	number of organizations	of transport organizations
§	Access to European road networks	Share in total number of organizations	International Transport Forum (http://www.internationaltransportforum.org)
§	Logistics Performance Index (LPI)	Share in total number of organizations	World Bank (http://www1.worldbank.org/PREM/LPI/tradesurvey/model1b.asp)
§	Importance for TEN-T	Level of importance	European Commission

4. People-to-People			
4.1 Mobility, including academic and students mobility			
§	Issuing visas by the EU		
	1. All Member States	Share in the total number of citizens (last available - 2011)	http://ec.europa.eu/home-affairs/policies/borders/borders_visas_en.htm European Commission
	2. Issuing visas by Schengen Area member states	Share in the total number of citizens (last available - 2012)	
§	EU Consulates that issue visas	Number of all consulates per capita	MFA of each country
§	A money ceiling for one round trip flights to EU countries	Available/Restricted /Not available	Ministry responsible for transport
§	Share of EU destinations in the total flight number (direct flights only)	%	Rel. companies, airports data
§	Migrant stock in the EU	% of population (2012)	World Bank - Bilateral Migration and Remittances 2010
§	Estimated Remittances from the EU using migrants stocks	% of GDP (2012)	World Bank- Bilateral Migration and Remittances 2011 http://econ.worldbank.org/WBSITE/EXTERNAL/EXTDEC/EXTDECPROSPECTS/0,,contentMDK:22759429~pagePK:64165401~piPK:64165026~theSitePK:476883,00.html
§	How many bilateral agreements on small border traffic your country has with EU member states?	Yes/No, If yes - number of agreements	European Commission
§	Students mobility (declaration and		Tempus office\

	implementation)		Ministry of education
	1. Contractual framework	Yes/No, Please specify	
	2. Number of students staff having used EU mobility programs 2012 (per capita)	Number per capita	
4.2 Participation in EU programmes and agencies			
§	Protocol of participation ratified	Yes/No	Country experts
§	Agreements of participation in individual programmes/agencies	Number of agreements	Country experts
§	7th Framework Programme for Research and Technological Development (FP7)		Country experts http://ec.europa.eu/world/enp/documents_en.htm (country reports), internet portals of agencies / programmes, EU delegations http://ec.europa.eu/world/enp/documents_en.htm (country reports), internet portals of agencies / programmes, EU delegations http://eacea.ec.europa.eu/youth/results_compendia/compendia_en.php
	1. Is you country participating in FP7?	Yes/No	
	2. Number of projects country involved for 2012/	Number per capita	
§	Youth in Action (projects)		
	1. Number of projects of youth exchanges from EaP and EU for 2011	Number per capita	
	2. Number of direct participants of youth exchanges from EaP and EU for 2011-2012	Number per capita	
§	Youth in Action (youth exchanges, training and networking)		
	3. Number of projects (during 2011)	Number	
	4. Number of projects hosted by the country (during 2011-2012)	Number	
§	Youth in Action (European Voluntary Service)		
	1. Number of projects 2011 per mln population.		
	2. Number of volunteers sent to the EU (2011-2012) per mln population.		
	3. Number of volunteers from the EU hosted (2011-2012) per mln population		
§	Erasmus Mundus	Number of students (Erasmus Mundus Master 2011-2012 and Erasmus	

		Mundus Joint Doctorates 2011-2012) per mln population	
§	Jean Monet	Number of chairs/modules	
§	Tempus	Funded projects "Tempus IV" in 2012/ per capita	
§	EU Culture	Number of projects 2011-2012 (third countries participation) per mln population	
§	EU Eastern Partnership Culture Programme	Number of projects (leading organisation) in 2012 per mln population Number of projects (partner organisation) in 2012 per mln population	http://euroeastculture.eu/ (data to be provided in Kyiv)
§	European Cultural Foundation	Number of bilateral and multilateral projects (during 2011-2012) where your country participates - as a leading organisation - as a partner organisation	Experts from European Cultural Foundation eurocult.org
§	European Training Foundation	Number of bilateral and multilateral projects during 2011-2012 where your country participates	http://www.etf.europa.eu/web.nsf/pages/home

5. Assistance			
5.1. Overall EU Development Aid			
§	MS Official Development Assistance (EU 27) - disbursement 2010		OECD Database
	1. Total volume	EUR	
	2. Total volume	% of GDP	
	3. Per capita	EUR	
§	European Commission Official Development Assistance - disbursement 2010		OECD Database
	1. Total volume	EUR	
	2. Total volume	% of GDP	
	3. Per capita	EUR	
5.2 ENPI (European Neighborhood and Partnership Instrument)			
<i>5.2.1 National</i>			
§	ENPI programmed for 2011-2013 (National Indicative Programme)		European Commission http://www.easternpartnership.org/programmes/country-allocations
	1. Total volume	mln EUR	
	2. Per capita	EUR	
§	ENPI committed 2012 (not including additional funds coming from EaPIC programme)		European Commission
	1. Total volume	mln EUR	
	2. Per capita	EUR	
§	ENPI disbursed 2012		European Commission
	1. Total volume	mln EUR	
	2. Per capita	EUR	
§	ENPI for 2012: % of disbursed funds to committed - % (including additional funds coming from EaPIC programme)	%	European Commission
§	EU (ENPI) budget support in 2012		European Commission
	1. Total volume: 2012	mln EUR	
	2. average 2012 of average GDP ppp 2012	%	
§	Did your country receive any macro-economic assistance from the EU in 2012?	Yes/No	European Commission
§	What was the amount of EU's allocated assistance?		European Commission
	1. Total volume	mln EUR	
	2. Per capita	EUR	
§	What was the amount of grants in the macro-		European

	economic assistance?		Commission
	1. Total volume	mln EUR	
	2. Per capita	EUR	
§	TAIEX		European Commission/country experts http://ec.europa.eu/world/enp/index_en.htm
	1. Number of requests: 2012	Number	
	2. Number of projects implemented: 2012	Number	
	3. Number of participants (single and multi-country events): -2012	Number	
§	Twinning	Number of projects (Launched/ongoing/completed)	European Commission/country experts http://ec.europa.eu/world/enp/index_en.htm
§	SIGMA evaluation	Yes/No. If yes, number of actions 07. 2011 - 12.2012	European Commission http://ec.europa.eu/world/enp/index_en.htm
§	High level technical assistance		European Commission
	1. EU high level advisors placed in the government	Yes/No	
	2. EU high level advisors placed in the government	Number of advisors	
§	Comprehensive Institution Building (CIB)		European Commission
	1. CIB programmed for 2011-2013	mln EUR	
	2. CIB committed for 2012	mln EUR	
§	ENP Social Cohesion: Regional and Rural Development	Committed for 2012 mln EUR	European Commission
	<i>5.2.2 ENPI East regional/Interregional</i>		
§	CBC		European Commission
	1. Participation	Yes/No	
	2. Number of programmes where your country participates in 2012	Number	
§	Did your country receive additional funding from the Eastern Partnership Integration and Cooperation (EaPIC) programme in 2012?	Yes/No	EaPIC
§	What was the amount of additional allocation?		European Commission
	1. Total volume	mln EUR	
	2. Per capita	EUR	
§	Neighborhood Investment Facility		European Commission http://ec.europa.eu/world/enp/i
	1. Number of projects supported during 2012	Per capita in EUR	
	2. Contribution in the projects approved by the Operational Board for the EaP region in 2012	Per capita in mln EUR	

			index_en.htm
5.3 Thematic instruments and programmes			
§	EIDHR		European Commission/ country experts
	1. Number of projects for 2012	Per capita	
	2. 2012 EIDHR allocation for the country based support scheme	a. mln EUR b. Per capita	
§	Eastern Partnership Civil Society Facility		European Commission http://ec.europa.eu/europeaid/documents/aap/2012/af_aap-spe_2012_enpi-e_p2.pdf
	1. 2012 country allocation	mln EUR	
	2. 2012 country allocation	Per capita	
	3. 2012 country allocation	Number of projects	
§	Thematic Programme Non State Actors and Local Authorities - Development Co-operation Instrument 2012		European Commission http://ec.europa.eu/world/enp/index_en.htm
	1. Total volume	mln EUR	
	2. Per capita	EUR	
§	Additional assistance - Instrument for Nuclear Safety Cooperation (NSCI) - 2012		European Commission
	1. Total volume	mln EUR	
	2. Per capita	EUR	
5.4 European financial institutions			
§	EBRD		European Bank for Reconstruction and Development http://www.ebrd.com/pages/research.shtml
	1. Offices	Yes/No	
	2. EBRD loans offered (2012)	mln EUR and as % of GDP	
	3. Number of EBRD funded project in 2012	Per mln population	
§	EIB		EIB http://www.eib.org/projects/loans/regions/cei/index.htm?start=2012&end=2012
	4. Offices	Yes/No	
	5. EIB loans offered (2012)	mln EUR and as % of GDP	
	6. Number of EIB funded project in 2012	Per mln population	

II. Approximation Dimension

1. Deep and Sustainable Democracy			
<i>1.1 Elections (national level)</i>			
<i>1.1.1. Fair electoral campaign</i>			
§	Are candidates / parties provided equitable access to state-owned media?	Yes/No	OSCE-ODIHR reports & alternative monitoring reports, complemented by country experts
§	Are political parties provided with public funds to finance their campaign?	Yes/No	Country experts
§	Is there an independent mechanism for identifying bias in the state media and is identified bias subject to swift correction?	Yes/No	Country experts
<i>1.1.2. Legal framework and its implementation</i>			
§	Is the electoral legislation implemented?	Yes/No	Country experts
§	Is the electoral legislation broadly perceived to be legitimate?	Yes/No	Country experts
§	Are fair and effective systems for constituency demarcation and seat allocation in place and used according to the rules?	Yes/No	Country experts
<i>1.1.3. Organisation of elections</i>			
§	Are there appropriate mechanisms for ensuring that the information in the voter register is accurate?	Yes/No	Country experts
§	Are the criteria for registration fair and reasonable and compliant with international standards?	Yes/No	Country experts
§	Are parties allowed, and can parties and candidates who fulfill the requirements of registration be registered without bias?	Yes/No	Country experts
§	Are independent candidates allowed and registered if they fulfill legal requirements?	Yes/No	Country experts
§	Is the electoral management commission perceived as impartial, transparent and legitimate by parties and voters?	Yes/No	Country experts
§	Is the method of voting or the design of the ballot paper non-discriminatory?	Yes/No	Country experts
§	Is polling accessible, secure and secret?	Yes/No	Country experts
§	Are there systems in place to preclude and/ or rectify fraudulent voting?	Yes/No	Country experts

§	Are there systems in place to preclude vote buying?	Yes/No	Country experts
§	Is the level of intimidation so that voters can express their free will?	Yes/No	Country experts
§	If there is substantial desire for election observation, is the desire satisfied?	Yes/No	Country experts
§	Is the count conducted with integrity and accuracy?	Yes/No	Country experts
§	Are parties and candidates allowed to observe the count?	Yes/No	Country experts
§	Is there an appropriate system of appeal which operates in an impartial and non-partisan manner?	Yes/No	Country experts
§	Do election observation organizations confirm that the elections were without serious problems?	Yes/No	Country experts
<i>1.1.4. Electoral competitiveness</i>			
§	Vote differential between winner and best-performing rival in most recent presidential elections	Difference between vote shares in percentage points	Country experts
§	Vote differential between strongest party / electoral alliance and main opposition party/ alliance, most recent legislative elections	Difference between vote shares in percentage points	Country experts

<i>1.2 Media freedom, association and assembly rights</i>			
<i>1.2.1. Media freedom</i>			
§	Freedom of the Press	2012 Index value	2012 Index value/Freedom House http://www.freedomhouse.org/report-types/freedom-press
§	Press Freedom Index	2013 Index value	Reporters without borders http://en.rsf.org/press-freedom-index-2013,1054.html
§	Independent media	Score	Nations in Transit 2012 http://www.freedomhouse.org/report-types/nations-transit
<i>1.2.2. Association and assembly rights</i>			
§	To what extent can individuals form and join independent political or civic groups?	Score	Bertelsmann Transformation Index 2012 http://www.bti-project.org/index/
§	To what extent can these groups operate and assemble freely?	Score	Civil Society subscore from Nations in Transit 2012 http://www.freedomhouse.org/sites/default/files/2012%20%20NIT%20Tables.pdf
§	Associational and organizational rights	Score	Freedom House, Freedom in the World 2013, Subscore http://www.freedomhouse.org/report/freedom-world-aggregate-and-subcategory-scores

1.3 Human Rights			
	<i>1.3.1 Protection of civil liberties</i>		
§	Violations of civil liberties		
	1. Personal autonomy and individual rights	Score ¹	Freedom House, Freedom in the World 2013, subscore
	2. Freedom of expression and believe	Score	Freedom House, Freedom in the World 2013, subscore
	3. Physical integrity rights index ²	Score	(Cingranelli/Richards 2010) www.humanrightsdata.org
	4. To what extent are civil rights guaranteed and protected, and to what extent can citizens seek redress for violations of these rights?	Score	Bertelsmann Transformation Index 2012
§	Legal framework		
	1. Did your country ratify the European convention of Human Rights?	Yes/No	Country experts
	2. Is there an effective system of legal aid, especially free of charge legal aid for people with limited financial means?	Yes/No	Country experts
	3. Is the death penalty abolished by law?	Yes/No	Country experts
	4. Did you country ratify the European Convention for the Prevention of Torture and Inhuman or Degrading Treatment or Punishment?	Yes/No	
	5. Did your country request the publication of the latest European Committee for Prevention of Torture (CPT) reports (2010-2011)?	Yes/No	Country experts, http://www.cpt.coe.int/en/stat es.htm
	6. Did you country ratify the Optional Protocol to the UN Convention against Torture (OPCAT) and other Cruel, Inhuman or Degrading treatment or +punishment?	Yes/No	Ministry of Justice/ EU Delegation
	7. Is there a National Preventive Mechanism according to the OPCAT criteria in your country?	Yes/No	Ministry of Justice/ EU Delegation

¹ In the Freedom House survey, the rating of “civil liberties” comprises four subcategories that overlap with other parts of our Index: Freedom of expression and belief; associational and organisational rights; rule of law; personal autonomy and individual rights. To avoid this overlap, the latter subcategory is taken, although one might argue that freedom of belief is not well covered by our index.

² This index summarizes ratings assessing the extent of torture, extrajudicial killing, political imprisonment, and disappearance indicators.

	1.3.2. Equal opportunities and non-discrimination		
	<i>1.3.2.1. International and regional HR legal documents (Has your country ratified...?)</i>		
§	Protocol 12 of the ECHR?	Yes/No	Country experts
§	Convention on the Rights of Persons with Disabilities	Yes/No	Country experts
§	International Convention on the Protection of the Rights of All Migrant Workers and Members of Their Families	Yes/No	
§	European Charter for Regional or Minority Languages	Yes/No	
§	Framework Convention for the Protection of National Minorities	Yes/No	
§	European Convention on the Legal Status of Migrant Workers	Yes/No	
§	European Convention on Nationality	Yes/No	
	<i>1.3.2.2. Anti-discrimination legislation</i>		
§	Has your country adopted a framework law on protection against discrimination, which meets EU requirements?	Yes/No. If yes, give the title of the law	Country experts
§	In the absence of or alongside with a framework law, which of the following laws provide for protection against discrimination?		Country experts
	1. a. Constitution	Yes/No	
	2. b. Criminal/Penal Code/Law	Yes/No	
	3. c. Civil Code/Law	Yes/No	
	4. d. Administrative Code/Law	Yes/No	
	5. e. Labour/Employment Code/Law	Yes/No	
	6. f. Law governing economic activities, provision of goods and services	Yes/No	
	7. g. Law governing education	Yes/No	
§	Is the list of protected grounds contained in the law open or close (open list means that apart from the list it also says 'and other grounds' thus leaving the space for potentially other categories; close list means that only the listed grounds are protected.) <i>Here, please specify which of the laws mentioned in the previous question contain an open list and which contain a closed one unless they uniformly contain either of the two types.</i>	Open/Close	Country experts
§	Does the national legislation explicitly provide for protection on the ground of ...?		Country experts
	a. "race"/color of skin/ethnic origin	Yes/No	
	b. language	Yes/No	
	c. citizenship	Yes/No	
	d. sex	Yes/No	
	e. age	Yes/No	
	f. sexual orientation	Yes/No	
	g. gender identity	Yes/No	

	h. disability	Yes/No	
	i. religion	Yes/No	
	j. political or other conviction	Yes/No	
	k. social status	Yes/No	
	l. property status	Yes/No	
	m. family status	Yes/No	
	n. place of residence	Yes/No	
	o. occupation	Yes/No	
	p. membership of political party/association of natural persons/labor union	Yes/No	
	q. criminal record	Yes/No	
	r. health	Yes/No	
§	Does the national legislation provide an extensive definition of discrimination?	Yes/No	Country experts
§	Does the national legislation define and stipulate liability for ...?		
	a. Direct discrimination	Yes/No	Country experts
	b. Indirect discrimination	Yes/No	
	c. Harassment	Yes/No	
	d. Victimisation	Yes/No	
	e. Instigation to discrimination/Instruction to discriminate	Yes/No	
	f. Failure to provide reasonable accommodation	Yes/No	
§	Does the national legislation provide protection from ...		
	a. Assumed discrimination	Yes/No	Country experts
	b. Associated discrimination	Yes/No	
	c. Multiple discrimination	Yes/No	
§	Does the national legislation provide protection in case of discrimination by:		
	a. Natural persons	Yes/No	Country experts
	b. Legal persons	Yes/No	
§	Does the national legislation provide protection from discrimination in the field of:		
	a. Employment	Yes/No	Country experts
	b. Education	Yes/No	
	c. Supply of goods and services	Yes/No	
	d. Healthcare	Yes/No	
	e. Social security/Welfare	Yes/No	
§	Are there specific laws that prohibit discrimination on any single of the aforementioned grounds (e.g. a stand-alone law on gender equality (on the ground of sex))?	Yes/No	Country experts
	<i>1.3.2.3. Anti-discrimination policy</i>		
§	Are there positive (affirmative) action measures in place in your country's legislation to prevent or compensate for previously and currently existing disadvantages (specify grounds and area)	Yes/No	Country experts
§	Is promotion of equal treatment and non-discrimination vested in:		Country experts

	a. A specialised equality body	Yes/No	
	b. Several specialised equality bodies	Yes/No	
	c. A Human Rights institution with a broad mandate (e.g. Ombudsperson)	Yes/No	
	d. A governmental agency	Yes/No	
§	If there is a specialised equality body, does it have the powers to:		
	a. Consider individual cases and issue binding opinions	Yes/No	Country experts
	b. Consider individual cases and issue non-binding opinions	Yes/No	
	c. Take cases to courts on behalf of a victim of discrimination	Yes/No	
	d. Take cases to courts in its own name	Yes/No	
	e. Make interventions in the court in the amicus curiae capacity	Yes/No	
	f. Conduct investigation into cases of discrimination	Yes/No	
	g. Conduct research and collect statistical information on discrimination	Yes/No	
	h. Have legislative initiative	Yes/No	
	Have there been court decisions on cases of discrimination, including hate crime and hate speech, in 2012?	Yes/No	
§	Does the specialised equality body enjoy:		
	a. Political independence	Yes/No	Country experts
	b. Financial independence	Yes/No	
§	Number of women – MPs in the national Parliament = Gender Empowerment Measure? % of the total Number (February 2013)	% of the total Number	Inter-Parliamentary Union http://www.ipu.org/wmn-e/arc/classif310511.htm
§	Number of women in ministerial positions = % of the total Number (December 2012)		Country experts

1.4. Independent judiciary			
<i>1.4.1. Appointment, promotion and dismissal</i>			
1.	Are judges appointed based on objective criteria (such as the passage of an exam, performance in law school, other training, experience, professionalism, and reputation in the legal community)?	Yes/No	Country experts ³
2.	Are judges advanced through the judicial system on the basis of objective criteria such as ability, integrity, and experience?	Yes/No	Country experts
3.	May judges be removed from office or otherwise punished only for specified official misconduct and through a transparent process, governed by objective criteria?	Yes/No	Country experts
<i>1.4.2. Institutional independence</i>			
1.	Are judicial decisions based solely on the facts and law without any undue influence from senior judges (e.g., court presidents), private interests, or other branches of government?	Yes/No	Country experts
2.	Does the judiciary have a meaningful opportunity to influence the amount of money allocated to it by the legislative and/or executive branch?	Yes/No	Country experts
3.	Are sufficient resources allocated to protect judges from threats such as harassment, assault, and assassination?	Yes/No	Country experts
4.	Are senior level judges appointed for fixed terms that provide a guaranteed tenure, which is protected until retirement age or the expiration of a defined term of substantial duration?	Yes/No	Country experts
5.	Do judges have immunity for actions taken in their official capacity (functional immunity)?	Yes/No	Country experts
6.	Does an independent judicial council have decisive influence on decisions on the appointment and career of judges? Are a majority or a substantial part of the members of this council elected by the judiciary?	Yes/No	Country experts
<i>1.4.3. Judicial powers</i>			

³ Recent ABA Judicial Reform Index country reports exist for Armenia (January 2008), Georgia (April 2008) and Moldova (June 2009). The Ukraine report from December 2005 probably requires updating, and no reports exist for AZE and BLR.

1.	Does a judicial organ have the power to determine the ultimate constitutionality of legislation and official acts, and are such decisions enforced?	Yes/No	Country experts
2.	Does the judiciary have the power to review administrative acts and to compel the government to act where a legal duty to act exists?	Yes/No	Country experts
3.	Does the judiciary have exclusive and ultimate jurisdiction over all cases concerning civil rights and liberties?	Yes/No	Country experts
4.	Do judges have adequate subpoena, contempt, and/or enforcement powers, which are utilized, and these powers are respected and supported by other branches of government?	Yes/No	Country experts
5.	May judicial decisions be reversed only through the judicial appellate process?	Yes/No	Country experts
<i>1.4.4. Accountability and transparency</i>			
1.	Are judges assigned to cases by an objective method (alternative to random case assignment), such as lottery, or according to their specific areas of expertise? And may they be removed only for good cause, such as a conflict of interest or an unduly heavy workload?	Yes/No	Country experts
2.	Does a judicial code of ethics exist to address major issues such as conflicts of interest, ex parte communications, and inappropriate political activity, and are judges required to receive training concerning this code both before taking office and during their tenure?	Yes/No	Country experts
3.	Does a meaningful process exist under which other judges, lawyers, and the public may register complaints concerning judicial conduct?	Yes/No	Country experts
4.	Are courtroom proceedings open to, and can they accommodate, the public and the media?	Yes/No	Country experts
5.	Are judicial decisions published and are records of courtroom proceedings made available to the public?	Yes/No	Country experts
6.	Are there effective procedures to hold judges accountable for illegal activities (whether judges enjoy a wider scope of immunity, reaching beyond their functional immunity)?	Yes/No	Country experts

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1.5. Quality of public administration			
<i>1.5.1. Policy formulation and coordination</i>			
§	Does the legislative procedure provide for mandatory consultations with the public opinion, e.g. civil society organisations, stakeholders at the stage of preparatory works, before the draft law or secondary legislative act is due to be adopted by the Council of Ministers (or Prime Minister, or Minister)? Does the Government issue green books for a public deliberations before starting the work on draft law? Are public hearings practiced with a participation of representatives of the Government?	Yes/No	Country experts
§	If the public consultations in any form are conducted in your country, please consider to what extent they have an impact on the final content of proposals? In other words, are the results of public consultations, including opinions of experts, think tanks, NGOs, published in any form? Does the Government inform the public opinion what amendments to drafts legislative acts are being put as a result of consultation process?	Yes/No	Country experts
§	Are there effective institutional arrangements to provide strategic planning and policy formulation, to support decision-making?	Yes/No	Country experts
§	Is there a central body (“government office”) supporting the council of ministers?	Yes/No	Country experts
§	Does the government office have sufficient capacity to evaluate line ministry proposals and organise their coordinated submission to cabinet meetings?	Yes/No	Country experts
§	Are there bodies to coordinate cross-sectoral policies?	Yes/No	Country experts
§	Are there procedures to ensure the consultation of all interested ministries and agencies on policy proposals?	Yes/No	Country experts
§	Are there detailed administrative procedures for processing policies?	Yes/No	Country experts
§	Are there bodies for assessing the impact of government-wide policies?	Yes/No	Country experts
§	Are there bodies for providing policy advice for ministries and the centre?	Yes/No	Country experts
§	Are there procedures for evaluating the implementation of policies?	Yes/No	Country experts
§	Are policymaking departments sufficiently resourced with qualified staff?	Yes/No	Country experts
<i>1.5.2. Impartial and professional civil service</i>			
<i>1.5.2.1 Legal framework of civil service management</i>			
§	1.	Is there a civil service law?	Country
		Yes/No	

			experts
2.	Does the law define which categories and types of staff are civil servants?	Yes/No	Country experts
3.	Is there an adequate code of conduct or equivalent framework for civil servants?	Yes/No	Country experts
4.	Is explicit political activity of civil servants prohibited by law?	Yes/No	Country experts
5.	Is there a clear distinction between political appointees and career civil servants?	Yes/No	Country experts
6.	Are there requirements to disclose conflicts of interest?	Yes/No	Country experts
7.	Are conflicts of interest effectively addressed?	Yes/No	Country experts
8.	Is civil service policy and performance published?	Yes/No	Country experts
9.	Do civil servants have access to their personnel files?	Yes/No	Country experts
10	Is there a data protection act?	Yes/No	Country experts
11.	Are employment relationships of civil servants protected against politically motivated dismissals?	Yes/No	Country experts
§	<i>1.5.2.2 Institutional framework</i>		
1.	Are there clearly defined institutions to develop and coordinate civil service policy?	Yes/No	Country experts
2.	Do these institutions have sufficient authority to ensure human resources policies are adopted and complied with?	Yes/No	Country experts
3.	Is there an oversight body to ensure the fairness of civil service policies?	Yes/No	Country experts
§	<i>1.5.2.3 Employment and remuneration</i>		
1.	Is the ratio of all public sector employees per head of population in line with EU member states (ranging according to the European Commission data	Yes/No	Country experts
2.	Are levels of pay sufficiently competitive to recruit, retain and motivate qualified civil servants at all levels?	Yes/No	Country experts
	<i>1.5.2.4 Recruitment, promotion and disciplinary procedures</i>		
1.	Are there effective planning arrangements for deployment of civil servants?	Yes/No	Country experts
2.	Are there effective personnel information systems in place?	Yes/No	Country experts
3.	Are all posts advertised publicly to ensure equal competition for posts?	Yes/No	Country experts
4.	Is there a competitive process including objective assessment for recruitment?	Yes/No	Country experts
5.	Are job descriptions prepared and utilised?	Yes/No	Country experts

			experts
6.	Is the recruitment process checked by multiple reviewers?	Yes/No	Country experts
7.	Are there consistent recruitment practices across the civil service?	Yes/No	Country experts
8.	Are performance appraisals undertaken on a regular basis for all civil servants?	Yes/No	Country experts
9.	Is there a formal unified performance appraisal system?	Yes/No	Country experts
10.	Is there a uniform process, with legal basis, for making promotion decisions?	Yes/No	Country experts
11.	Are the procedures of promotion open, transparent and based upon merit?	Yes/No	Country experts
12.	Is the process of promotion used consistently across the civil service?	Yes/No	Country experts
13.	Is the process of promotion overseen by a third party?	Yes/No	Country experts
14.	Is there recourse to appeal for promotion grievances?	Yes/No	Country experts
15.	Are disciplinary procedures based upon transparent and fair principles?	Yes/No	Country experts
16.	Is there an appropriate training system to re-skill staff, to prepare new recruits and to develop existing staff?	Yes/No	Country experts
17.	Do the practices of selecting, appointing and promoting senior civil servants support their professionalisation and de-politicisation?	Yes/No	Country experts
§	<i>1.5.2.5 Management of public service quality</i>		
1.	Are there representative surveys monitoring citizens' satisfaction with public services?	Yes/No	Country experts
2.	Are the results of such surveys evaluated and used to prepare reforms of public service delivery?	Yes/No	Country experts
3.	Are quality management frameworks (e.g., European Foundation for Quality Management Excellence Model, Common Assessment Framework, ISO 9000) regularly used in your country's public administration?	Yes/No	Country experts
4.	Can citizens lodge complaints about the quality of public services with the responsible department?	Yes/No	Country experts
5.	Are such complaints assessed by functionally independent bodies		Country experts

	within public administration? Yes/No		
1.6 Fighting corruption			
<i>1.6.1. Control of corruption</i>			
§	Worldwide Governance Indicators	Latest (2011) score	WB Governance Indicators http://info.worldbank.org/governance/wgi/index.asp
§	Transparency International Corruption Perceptions Index	2012 score	Transparency International http://www.transparency.org/cpi2012/results
<i>1.6.2. Internal and external auditing</i>			
§	Is there a national supreme audit institution, auditor general or equivalent agency covering the public sector?	Yes/No	Country experts
§	Is the audit agency organisationally independent from the executive legislature and judicial organs?	Yes/No	Country experts
§	Is the head (collegiate leadership) of the audit agency protected from removal without relevant justification?	Yes/No	Country experts
§	Do the practices of appointing audit agency staff support the independence of the agency?	Yes/No	Country experts
§	Does the audit agency receive regular funding?	Yes/No	Country experts
§	Are there procedures applied by the audit agency to ensure the quality of audits (objectiveness, validity etc.)?	Yes/No	Country experts
§	Does the audit agency regularly publish reports about its audits?	Yes/No	Country experts
§	Does the legislature regularly debate the reports of the audit agency?	Yes/No	Country experts
§	Does the government act on the findings of the audit agency?	Yes/No	Country experts
§	Is there a government paper defining a system of Public Internal Financial Control (PIFC)?	Yes/No	Country experts
§	Is there an action plan specifying and scheduling the measures required to set up PIFC?	Yes/No	Country experts
§	Are there financial management and control systems used in those bodies of public administration that are charged with managing public revenues and/or expenditures?	Yes/No	Country experts
§	Are there functionally independent internal audit	Yes/No	Country experts

	units that assess these internal control systems and report to the leading management?		
§	Is there a central unit / structure managing the development of PIFC throughout the public sector?	Yes/No	Country experts
<i>1.6.3. Public procurement</i>			
§	Do major procurements (>0.5% of GDP) require competitive bidding?	Yes/No	Country experts
§	Is sole sourcing limited to specific, tightly defined conditions, such as when a supplier is the only source of a skill or technology?	Yes/No	Country experts
§	Can unsuccessful bidders instigate an official review of procurement decisions?	Yes/No	Country experts
	Are companies convicted of bribery prohibited from participating in future procurement bids?	Yes/No	Country experts
§	Can citizens access public procurement regulations?	Yes/No	Country experts
§	Are the results of major public procurement bids published on-line or in other official sources?	Yes/No	Country experts
1.7 Accountability			
<i>1.7.1 Executive accountability to legislature</i>			
§	<i>1.7.1.1. Legislature's influence over executive</i>		
	Can the legislature alone, without the involvement of other agencies, impeach the president?	Yes/No	Country experts
2.	Does the legislature have powers of summons over executive branch officials? Do the legislatures or its committees hold regular hearings with executive branch officials?	Yes/No	Country experts
3.	Can the legislature conduct independent investigations of the chief executive and the agencies of the executive?	Yes/No	Country experts
4.	Does the legislature have effective powers of oversight over the agencies of coercion (the military, police, intelligence services)?	Yes/No	Country experts
5.	Does the legislature appoint the prime minister? Is the legislature able to appoint the PM against the will of the president?	Yes/No	Country experts
6.	Does the legislature approve the appointment of ministers or appoint ministers?	Yes/No	Country experts

	7.	Can the legislature vote no confidence in the government?	Yes/No	Country experts
§	<i>1.7.1.2. Legislature's institutional autonomy</i>			
	1.	Does the executive lack the right to dissolve the legislature?	Yes/No	Country experts
	2.	Does the executive (government and president) lack the right to enact laws without legislature's approval?	Yes/No	Country experts
	3.	Are laws passed by the legislature veto-proof? That is, does the executive lack veto power or can an executive veto be overridden by a majority in the legislature?	Yes/No	Country experts
	4.	Is the legislature entitled to initiate bills in all policy areas? Does the executive lack gate keeping authority?	Yes/No	Country experts
	5.	Does the executive lack the power to impound funds appropriated by the legislature?	Yes/No	Country experts
	6.	Does the legislature control the resources that finance its own internal operation and provide for the prerequisites of its members?	Yes/No	Country experts
	7.	Are members of the legislature immune from arrest and / or criminal prosecution?	Yes/No	Country experts
	8.	Are all members of the legislature elected? Does the executive lacks the power to appoint any members of the legislature?	Yes/No	Country experts
§	<i>1.7.1.3. Legislature's specific powers</i>			
	1.	Can the legislature alone, without the involvement of any other agencies, change the Constitution?	Yes/No	Country experts
	2.	Does the legislature have to approve treaties with foreign countries?	Yes/No	Country experts
	3.	Does the legislature have the power to grant amnesty?	Yes/No	Country experts
	4.	Does the legislature appoint the governor of the central bank?	Yes/No	Country experts
	5.	Can the legislature influence the operation of the state-owned media?	Yes/No	Country experts
§	<i>1.7.1.4. Legislature's institutional capacity</i>			
	1.	Does each legislator have a personal secretary?	Yes/No	Country experts
	2.	Does each legislator have at least one non-secretarial staff with policy expertise?	Yes/No	Country experts

	3.	How experienced are legislators?	Number of MPs re-elected / total number of MPs (current legislature compare to previous)	Country experts
§	<i>1.7.1.5. Conditions for opposition</i>			
	1.	Are the chairs of parliamentary committees allocated on the basis of proportional representation?	Yes/No	Country experts
	2.	Are parliamentary committees composed on the basis of proportional representation?	Yes/No	Country experts
	3.	Is speaking time in plenary sittings allotted according to the respective weight of political groups?	Yes/No	Country experts
	4.	Seat differential between governing party / coalition and main opposition party / alliance	Difference between seat shares in pct points ⁴ as of February 2013	Country experts
	5.	Share of bills submitted by the opposition	Number of bills submitted by opposition deputies / number of total bills submitted, most recent terminated legislative period ⁵	Country experts
	6.	Cohesion of parliamentary groups	Number of MPs who changed their group affiliation during the most recent legislative period to the total number of deputies	Country experts

<i>1.7.2 Transparent budgeting</i>			
§	Deviation between actual aggregate expenditure and budgeted expenditure	Average % of budgeted expenditure, 2012 (otherwise 2009-2011)s	Country expert
§	Does the government provide multi-year fiscal forecasts of revenue and expenditure	Yes/No	Country experts

⁴ BLR: 1st chamber of parliament.

⁵ This ratio refers to submitted bills as adopted bills may have been changed significantly so that they may no longer represent opposition positions. The ratio is calculated from data for the most recent terminated legislative period because data on an annual basis may be skewed by the occurrence of elections and ensuing changes in parliamentary power constellations.

	aggregates and potential deficit financing?		
§	How many of the following elements of fiscal information does the government make available to the public?		Country experts
1.	Budget and budget supporting documents (macro-economic assumptions, fiscal deficit, deficit financing, debt stock, financial assets, prior year's budget outturn, current year's budget, explanation of budget implications of new policy initiatives)	Yes/No	Country experts
2.	In-year budget execution reports	Yes/No	Country experts
3.	Year-end financial statements	Yes/No	Country experts
4.	Resources available to primary service units with national coverage in at least two sectors (such as elementary schools and health care)	Yes/No	Country experts

1.7.3 Democratic control over security and law enforcement institutions

1.7.3.1 Internal control

§	Is there a law and internal regulations prescribing clearly the principle of proportionality in applying coercive powers? (Eg. In case of crowd control (also called riot control) regulations should prescribe clearly what tactics to be used, when to use lethal and non-lethal weaponry). Is there a prohibition to use lethal weapons in crowd control? If not, are the exceptions very strictly defined?	Yes/No	Country experts
§	Have there been any instances of violation of the principle of proportionality during crowd control (eg. during protests and public gatherings) for the past 3 years?	Yes/No	Experts independent assessment, media and NGOs records for 2010-2012
§	Is there an internal control and enforcement mechanism to deal with abuse of power by security and law enforcement actors (this could be an internal investigative unit and a disciplinary committee)...? - within police - within army - within security and intelligence service <i>N.B. This question refers to investigation of abuses that affect civilians (eg. internal investigation on use of lethal weapons during protests or military operations)</i>	Yes/No	Country experts
§	Is there an internal confidential complaint mechanism against illegal and discriminatory actions and orders within security and law enforcement forces (focal point for reporting abuses and cases of discrimination, distinct of a	Yes/No	Country experts

	<p>hierarchical superior)...?</p> <ul style="list-style-type: none"> - within police - within army - within security and intelligence service <p><i>N.B. This question checks if somebody (a victim from within the forces) is able to complain about his/her case (eg. gender discrimination, sexual harassment, hazing).</i></p>		
<i>1.7.3.2 Parliamentary oversight</i>			
§	Has the Parliament initiated investigations and organise hearings on functioning or/and abuses by security and law enforcement forces in the last 3 years?	Yes/No 2010-2012	Country experts
§	Is the Parliament represented in the National Security (and Defence) Council?	Yes/No	Country experts
§	Are decisions of the National Security (and Defence) Council subject to parliamentary scrutiny?	Yes/No	Country experts
§	Is the Parliament consulted before the President declares war or launches military operations?	Yes/No	Country experts
§	Is the Parliament consulted by the President in making appointments to highest ranks of security and armed forces? (Minister of Defense, Minister of Interior, Supreme Chief of Staff, generals)	Yes/No	Country experts
§	Are all political parties, including opposition, represented in the parliamentary oversight bodies?	Yes/No	Country experts
§	Do all parliamentarians have unrestricted access to classified information?	Yes/No	Country experts
§	Do management institutions (Ministry of Defense, Ministry of Interiors, Ministry of Justice, Intelligence and Security Agency) regularly report to the Parliament on implementation of policies? Are the reports public?	Yes/No	Country experts
§	Does the Ombudsman have competence to undertake investigations and site visit in cases of human rights abuse by security and law enforcement bodies?	Yes/No	Country experts
§	Does the Ombudsperson issues public annual reports which include the situation of human rights in the security and law enforcement institutions?	Yes/No	Country experts
<i>1.7.3.3 Transparency</i>			
§	Is there a dedicated unit responsible for public and media relations in the following management institutions...?	Yes/No	Country experts

	-Ministry of Defense -Ministry of Interior (and the Ministry of Justice if relevant) - Intelligence and Security Agency		
§	Is information on structure, competences, budget and operational decisions available on the websites of the following management institutions? -Ministry of Defense -Ministry of Interior (and the Ministry of Justice if relevant) - Intelligence and Security Agency	Yes/No	Country experts
§	Is information on budget expenses for military/armed forces open/easily available for citizens?	Yes/No	Country experts
§	Do the security and law enforcement actors practice regular public reporting about their activities, problems and objectives in the field of their competence? Please specify for: -Ministry of Defense -Ministry of Interior (and the Ministry of Justice if relevant) - Intelligence and Security Agency	Yes/No	Country experts
§	Do the security and law enforcement actors publicise statistics and cases on human rights violations by law-enforcement and security personnel? Please specify for: -Ministry of Defense -Ministry of Interior (and the Ministry of Justice if relevant) - Intelligence and Security Agency	Yes/No	Country experts
<i>1.7.3.4 Civil society oversight</i>			
§	Are civil society organisations and media able to obtain unrestricted information from the security and law enforcement institutions about their regulations, activities, budget etc? Please specify for: -Ministry of Defense -Ministry of Interior (and the Ministry of Justice if relevant) - Intelligence and Security Agency	Yes/No	Country experts
§	Have there been instances of civil society organisations and media representatives being intimidated or persecuted while investigating or reporting on human rights violations and	Yes/No 2010-2012	Country experts

	corruption within security and law enforcement bodies in the last 3 years?		
§	Do the management institutions hold regular consultations with civil society organisations on security policies and reform? Is the format of consultations institutionalised (civil society council)? Please specify separately for the following institutions: -Ministry of Defense - Ministry of Interior (and the Ministry of Justice if relevant) - Intelligence and Security Agency	Yes/No	Country experts
§	Do civil society organisations have access to hearings on functioning and abuses by security and law enforcement forces in the standing committees of the parliament?	Yes/No	Country experts
§	Do members of the independent National Preventive Mechanism (under OPCAT) (if exists - see 1.3.1 Civil liberties) have unrestricted access to places of detention?	Yes/No	Country experts
§	Is civil society represented in the designated National Preventive Mechanism (under OPCAT)?	Yes/No	Country experts

2. Market Economy and DCFTA

2.1 Business climate

§	Starting a business			WB Doing Business Report 2013 http://www.doingbusiness.org/~media/GIA/WB/Doing%20Business/Documents/Annual-Reports/English/DB13-full-report.pdf
	1.	Rank		
	2.	Time	days	
	3.	Procedures	number	
	4.	Cost	% of income p.c.	
	5.	Paid-in minimum capital	% of income p.c.	
§	Contract enforcement			WB Doing Business Report 2013
	1.	Rank		
	2.	Recovery rate	Cents on the dollar	
	3.	Time	Years	
	4.	Cost	% of estate	
§	Paying taxes			WB Doing Business Report 2013
	1.	Rank	No. per year	
	2.	Time	Hours per year	
	3.	Payments	%	
	4.	Total tax rate	% profit	
§	Contract Enforcement			WB Doing Business Report 2013
	1.	Rank		
	2.	Procedures	Number	
	3.	Time	Days per year	
	4.	Cost	% of claim	
§	Enterprises (large-scale privatization, small-scale privatization, governance and enterprise restructuring)		Score	EBRD Transition Indicators 2012 http://www.ebrd.com/downloads/research/transition/tr12.pdf
§	Markets and trade (price liberalization, trade and foreign exchange system, competition policy)		Score	EBRD Transition Indicators 2012
§	Rule of Law (property rights, freedom from corruption)		Score	Index of Economic

			Freedom 2013 http://www.heritage.org/index/
§	Effectiveness of Anti monopoly policy	Score	The Global Competitiveness Report 2012-2013, World Economic Forum
§	Burden of Customs procedures	Score	The Global Competitiveness Report 2012-2013, World Economic Forum
§	Tax burden on GDP	2013 Index of Economic Freedom	http://www.heritage.org)
2.2. Sector Transition			
§	Corporate sectors (agribusiness, general industry, real estate, telecoms)	score	EBRD 2012 http://www.ebrd.com/pages/research/economics/data/macro.shtml#ti
§	Energy (natural resources, sustainable energy, electric power)	score	EBRD 2012 http://www.ebrd.com/pages/research/economics/data/macro.shtml#ti
§	Infrastructure (water and wastewater, urban transport, roads, railways)	score	EBRD 2012 http://www.ebrd.com/pages/research/economics/data/macro.shtml#ti
§	Financial sectors (banking, insurance and other financial services, micro, small and medium-sized enterprise finance, private equity, capital markets)	Score	EBRD 2012 http://www.ebrd.com/pages/research/economics/data/macro.shtml#ti

2.3 DCFTA

2.3.1 Trade defense instruments and technical barriers to trade

§	Does your country have national legislation on antidumping and countervailing measures?	Yes/No	National sources
§	Does your country have national authority, investigating dumping and unfair trade practices?	Yes/no	National sources
§	Does your country participate in WTO TBT and ISO?	Yes/no	www.wto.org , http://www.iso.org/iso/ru/about/iso_members.htm
§	Has your country officially concluded ACAA with the EU?	Yes/no	National sources/EU technical assistance projects or advisors
§	Is your national market surveillance authority compatible with EU requirements? ⁶	Yes/no	National sources/EU technical assistance projects or advisors
§	Is your national market surveillance legislation compatible with EU requirements? ⁷	Yes/no	National sources/EU technical assistance projects or advisors
§	How many New Approach Directives have been implemented by your country? ⁸	Number of directives	National sources/EU technical assistance projects or advisors
§	Is application of standards (elaborated for the purpose of standardisation of industrial goods) on products voluntary in your country?	Yes/no	National sources/EU technical assistance projects or advisors
§	Do producers have the right to apply the EU and	Yes/no	National

⁶ Requirements to institutions set out in Decision No.768/2008/EC of the European Parliament and of the Council of 9 July 2008 on a common framework for the marketing of products, and repealing Council Decision 93/465/EEC (OJ L 218, 13.8.2008, p. 82–128) and Regulation (EC) No 765/2008 of the European Parliament and of the Council of 9 July 2008 setting out the requirements for accreditation and market surveillance relating to the marketing of products and repealing Regulation (EEC) No 339/93 (OJ L 218, 13.8.2008, p. 30–47).

⁷ substantive legal requirements set out in legal acts mentioned in the footnote above.

⁸ List of directives could be found at <http://ec.europa.eu/enterprise/policies/european-standards/harmonised-standards/#h2-1> (section on harmonized legislation for products (directives or regulations which provide for CE marking)). <http://www.newapproach.org/Directives/DirectiveList.asp>

	international standards (CEN, CENELEC, ISO) for the purpose of selling products outside?		sources/EU technical assistance projects or advisors
<i>2.3.2 Sanitary and phytosanitary measures</i>			
§	Does your country participate in the WTO SPS and Codex Alimentarius?	Yes/No	www.wto.org , http://www.codexalimentarius.net/web/members.jsp?lang=EN
§	Do the EU and your country mutually recognise equivalence of requirements applied to any sector or product?	Yes/No	DG SANCO
§	What is the number of national establishments in the EU list of establishments having the right to export to the EU products of animal origin? ⁹	Number of establishments	DG SANCO
§	Does the EU in official opinions of DG SANCO or other authorities recognise that your national food safety authority/authorities is/are compatible with EU requirements? ¹⁰	Yes/no	DG SANCO
§	Does the EU in official opinions of DG SANCO or other authorities recognise the compatibility of general national food safety legislation with the EU requirements? ¹¹	Yes/no	DG SANCO
§	Are national producers (meaning establishments) legally obliged to implement HACCP (Hazard Analysis and Critical Control Points) system?	Yes/no	National sources
§	Does the national legislation allow direct application of international standards i.e. Codex Alimentarius as a basis of assessment of compliance with national requirements?	Yes/no	National sources
<i>2.3.3 Customs and trade facilitation</i>			
§	Is your country a member of the World Customs Organisation?	Yes/no	
§	Is the Framework of Standards to Secure and Facilitate Global Trade ratified by your country?	Yes/no	http://www.wcoo.md.org/home_pf/overviewboxes_s/afepackage.htm , national sources
§	Is the Istanbul Convention on temporary admission ratified by your country?	Yes/no	http://www.wcoo.md.org/files/1.%20Public%20files/PDFandDocuments/Procedures%20and%20Facilitation_2/instruments/P

⁹ The list could be found at http://ec.europa.eu/food/food/biosafety/establishments/third_country/index_en.htm

¹⁰ The answers could be found in the reports on inspections made in countries and placed on EC web page.

¹¹ The answers could be found in reports on inspections made in countries and placed on EC web page.

			0202E1.pdf
§	Is the HS Convention ratified by your country?	Yes/No	http://www.wcoomd.org/files/1.%20Public%20files/PDFandDocuments/HarmonizedSystem/HS%20Overview/Countries_applying_HS_EN_20111017rev.pdf
§	Is the UN TIR Convention ratified by your country?	Yes/No	http://treaties.un.org/Pages/ViewDetails.aspx?src=TREATY&mtdsg_no=XI-A-16&chapter=11&lang=en
§	Is the 1982 Convention on harmonization of frontier controls of goods ratified by your country?	Yes/No	http://www.unecce.org/trans/convention/legalinst_51_BCF_HFCG.html
§	Is the Revised Kyoto Convention on the simplification and harmonization of customs procedures ratified by your country?	Yes/No	http://www.wcoomd.org/files/1.%20Public%20files/PDFandDocuments/About%20Us/legal_instrument/PG0200E1.pdf
§	Does your government carries out assessments of its customs authority according to the EC Customs Blueprints?	Yes/no	DG Taxud, national experts
§	Has there been any official declaration of intentions (legislation or governmental decrees) to implement EC Customs Blueprints?	Yes/no	DG Taxud, national experts
<i>2.3.4 Services and establishments</i>			
§	Number of economic activities, which require licensing	Number	National source
§	Number of economic activities that could be provided only by nationals	Number	GATS schedule
§	Does the national legislation allow national undertakings to use international financial reporting standards for the purpose of national accounting?	Yes/No	National source
§	Has your country established independent electronic communications regulatory authority (commission or board in the sphere of telecoms, independent from the government)?	Yes/No	National source
§	Does your country have legislation that defines rules on access and interconnection between	Yes/no	National source

	networks of electronic communication operators?		
§	Does your country have independent (from the government) national authorities, i.e. Commission or Board (one or several) responsible for regulation of financial services: <ul style="list-style-type: none"> - Banking services - Insurance services and - Investments in transferable securities 	Yes/no	National source
§	Did the government adopt a strategy or a roadmap which provide for the implementation of the Basel Committee's "Core Principle for Effective Banking Supervision"?	Yes/no	National source
§	Did the government adopt a strategy or a roadmap which provide for the implementation of the International Association of Insurance Supervisors' "Insurance Core Principles"?	Yes/no	National source
§	Did the government adopt a strategy or a roadmap which provide for the implementation of the International Organisation of Securities Commissions' "Objectives and Principles of Securities Regulation"?	Yes/no	National source
<i>2.3.5 Capital</i>			
§	Are there limitations on acquisition of <ul style="list-style-type: none"> - land (i.e. agricultural) - real estate 	Yes/no	National source
§	Are there any administrative procedures or prohibition for movement of capital?	Yes/No and Number	List prepared by national bank or similar authority
§	Are there any administrative procedures or prohibition for payments?	Yes/No and Number	List prepared by national bank or similar authority
<i>2.3.6. Intellectual property rights</i>			
§	Membership in WIPO	Yes/no	http://www.wipo.int
§	Participation in WIPO-administered treaties	Yes/no	http://www.wipo.int/treaties/en/
§	Appearance in lists of states with low protection of IPR	Yes/no	http://www.ustr.gov/about-us/press-office/reports-and-publications/2011/2011-special-301-report

<i>2.3.7. Geographical indications¹²</i>			
§	Is there national legislation on GIs (Geographical Indicators)?	Yes/no	National sources
§	How many national GIs are registered (including pending registration)?	Yes/no	National sources
§	What is the number of the EU GIs used by national producers (conflicting GIs)?	Number	National sources, DG Trade
§	Are national producers phasing out the use of EU GIs either voluntary or based on agreement?	Yes/no, If yes – how long is the transitional period for phasing out?	National sources, DG Trade
<i>2.3.8. Competition and State aid</i>			
§	Has your country established independent and competent national authority in the field of protection of economic competition? (Commission or board independent from the government)	Yes/no	National sources
§	Does the authority mentioned in the previous question or another national authority have powers to supervise provision of aid granted by state to companies?	Yes/no	National sources
§	Is your national substantive legislation on competition compatible with the EU law (Articles 101 and 102 of the Treaty on functioning of the European Union)?	Yes/no	National sources
§	Is there legislation on control and prohibition of state aid (Article 108 of the Treaty on functioning of the European Union)?	Yes/no	National sources
§	Has an inventory of state aid for goods been prepared by national authorities (as an obligation within WTO)?	Yes/no	National sources, WTO secretariat

¹² All information on this matter is available from: 1) EU delegation officer responsible for intellectual property, 2) DG Trade representatives, or 3) national civil servant involved in negotiations with the EU or in dialogue with the EU on intellectual property.

3. SECTORS			
3.1 Freedom, security and justice (implementation aspects)			
3.1.1. Visa dialogue			
§	Did you country receive a Visa liberalisation Action Plan?	Yes/No If the Action Plan exists, have your government adopted a domestic document for its implementation and set up a national co-ordination mechanism? Yes/No	Ministry of Justice/ EU Delegation
§	Is there a special law regulating data protection in accordance with the EU standards? Yes/No	Yes/No	Ministry of Justice/ EU Delegation
§	Is there an independent supervisory authority?	Yes/No	
§	Legal and institutional capacity for issuing national passport for travel abroad in accordance with European standards	Yes/No	Ministry of Justice/ EU Delegation SBGS
§	Are biometric passports being issued?	Yes/No	
3.1.2. Irregular immigration, including readmission			
§	Is there a competent civilian authority dealing with migration issues in your country?	Yes/No	Ministry of Justice/ EU Delegation
	Is there a framework document on regulation migration policy in your country?	Yes/No	Ministry of Justice/ EU Delegation
	Legislation on subsidiary, humanitarian and temporary protection	Yes/No	Ministry of Justice/ EU Delegation
	Procedures relating to treatment of refugee status and asylum applications	Ratification of the 1951 UN Convention on refugees' status and its 1967 Protocol - Yes/No Legislation on status	Ministry of Justice/ EU Delegation

		of those persons – Yes/No	
	Is there regularly updated migration profile of your country, containing data on illegal and legal migration?	Yes/No	Ministry of Justice/ EU Delegation
§	Is there adequate infrastructure (including detention centers)?	Yes/No	
3.1.3. Border management			
	Framework document setting up Integrated border management (IBM) as a basis for national border management policy	Yes/No	Ministry of Justice/ EU Delegation or relevant border management authority
	Operational legal framework establishing horizontal cooperation and information exchange between the bodies involved in IBM	Yes/No	Ministry of Justice/ EU Delegation or relevant border management authority
	Customs (law enforcement aspects)- adoption and implementation of a legal framework enabling the customs authorities to carry out complete investigations in criminal matters	Yes/No	Ministry of Justice/ EU Delegation
	Border demarcation	length of border demarcated with third countries in % of total border length	MFA
3.1.4 Security and organised crime			
§	Ratification of the UN Convention against Trans-national crime	Yes/No	Ministry of Justice/ EU Delegation
§	Ratification of 2 protocols on organised crime: on preventing trafficking in persons and against smuggling	Yes/No	Ministry of Justice/ EU Delegation
§	Does your country have a comprehensive strategy to fight organised crime and an action plan containing a timeframe, specific objectives, activities, results, performance indicators and sufficient human and financial resources for its implementation?	Yes/No	Ministry of Justice/ EU Delegation
§	Is there legislation in place on fighting against money laundering, including financing of terrorism in line with EU standards?	Yes/No	Ministry of Justice/ EU Delegation

§	Ratification of the Council of Europe Convention on Laundering, Search, Seizure and Confiscation of the Proceeds from Crime and on the Financing of Terrorism (# 198)	Yes/No	Ministry of Justice/ EU Delegation
§	Ratification of the Council of Europe Convention on action against trafficking in human beings	Yes/No	Ministry of Justice/ EU Delegation
§	Is there a national framework legislation on combating trafficking in human beings?	Adopted or not	Ministry of Justice/ EU Delegation
§	Is there a state-led victim assistance mechanism (National Referral Mechanism) in place?	Yes/No	Ministry of Justice/ EU Delegation
§	Does your country comply with Trafficking Victims Protection Act (TVPA)'s minimum standards – tier ranking	– tier ranking	US State Department http://www.state.gov/documents/organization/164452.pdf
§	Is there a framework legislation dealing with prevention and combating drug addiction?	Yes/No	Ministry of Justice/ EU Delegation

3.2. Energy: legislation convergence and energy policy			
3.2.1. Energy community			
	Membership	Yes/No	The Parliament
	Implementation of commitments:		
1.	Reform of internal gas market. Do you have national legislation adopted, which is in line with the following acquis: Directive 2003/55/EC; Regulation (EC) No. 1775/2005; Directive 2004/67/EC?	Yes/No	The Parliament, the Ministry of Energy, National Regulator
2.	Reform of internal electricity market. Do you have national legislation adopted, which is in line with the following acquis: Directive 2003/54/EC; Regulation (EC) No 1228/2003; Commission Decision 2006/770/EC; Directive 2005/89/EC?	Yes/No	The Parliament, the Ministry of Energy, National Regulator
3	<i>3^d Energy Package</i>		
	3.1. Common rules for the internal market in natural gas. Do you have national legislation adopted, which is in line with the Directive 2009/73/EC and the	Yes/No	The Parliament, The Ministry of Energy; National

	Regulation (EC) No 715/2009?		regulator
	3.2. Common rules for the internal market in electricity. Do you have national legislation adopted, which is in line with the Directive 2009/72/EC and the Regulation (EC) No 714/2009?	Yes/No	The Parliament, The Ministry of Energy; National regulator
4.	Environment protection. Do you have national legislation adopted, which is in line with the following acquis: Directive 85/337/EEC; Directive 97/11/EC; Directive 1999/32/EC; Directive 2001/80/EC; Directive 2003/35/EC; Article 4 (2) of the Directive 79/409/EC?	Yes/No	The Parliament, The Ministry of Energy; The Ministry of Environment; National regulator
5.	Renewable energy. Do you have national legislation adopted, which is in line with the following acquis: Directive the Directive 2009/28/EC?	Yes/No	The Parliament, The Ministry of Energy; National regulator
6.	Energy efficiency. Do you have national legislation adopted, which is in line with the following acquis: Commission Delegated Regulations (EU) No 1059/2010 to 1062/2010 and No 626/2011; Directive 96/60/EC; Directive 98/11/EC; Directive 2002/40/EC; Directive 2006/32/EC; Directive 2010/30/EU; Directive 2010/31/EU?	Yes/No	The Parliament; The Ministry of Energy; The Ministry of Housing/Construction; State Agency on Energy Efficiency/Saving
7.	Oil. Do you have national legislation adopted, which is in line with the Directive 2009/119/EC? – the new Directive from Oct 2012	Yes/No	The Parliament, The Ministry of Energy
8.	Statistics. Do you have national legislation adopted, which is in line with the following acquis: Directive 2008/92/EC, Regulation (EC) No 1099/2008? – the new Directive from Oct 2012	Yes/No	The Parliament, The Ministry of Energy; National Statistics Agency
3.2.2 Other the EU energy acquis implementation			
§	EU Emissions Trading System. Do you have national legislation adopted, which is in line with the Directive 2003/87/EC?	Yes/No	The Ministry of Energy; The Ministry of Environment or specialized State Agency; National regulator

	§	Greenhouse gas emission reduction commitments up to 2020. Do you have national legislation adopted, which is in line with the Decision No 406/2009/EC?	Yes/No	The Ministry of Energy; The Ministry of Environment or specialized State Agency; National regulator
	§	Emission performance standards for new passenger cars. Do you have national legislation adopted, which is in line with the Regulation (EC) No 443/2009?	Yes/No	The Ministry of Energy; The Ministry of Environment or specialized State Agency; National regulator
	§	Geological storage of carbon dioxide. Do you have national legislation adopted, which is in line with the Directive 2009/31/EC?	Yes/No	The Ministry of Energy; The Ministry of Environment or specialized State Agency; National regulator
	§	Environmental quality standards for fuels and biofuels. Do you have national legislation adopted, which is in line with the Directive 2009/30/EC?	Yes/No	The Ministry of Energy; The Ministry of Environment or specialized State Agency; National regulator
	§	RES National Targets. Do you have the relevant national legislation setting binding RES targets adopted?		
	§	RES Support schemes. Do you have national legislation providing for comprehensive support measures adopted?	Yes/No	The Ministry of Energy; National regulator; State Agency on RES or Energy Efficiency

	§	RES grid system issues. Do you have national legislation guaranteeing non-discriminatory RES connection to the grid adopted?	Yes/No	The Ministry of Energy; National regulator; State Agency on RES or Energy Efficiency
3.2.3. Institutional framework of energy market				
	§	Role of Energy regulator (independence, level of responsibility)	Score	The Ministry of Energy; National regulator
	1.	Is the regulator on energy market independent from Government in decision making?	Yes/No	
	2.	Is the tariffs policy independent from the Government (gas and electricity sectors)	Yes/No	
	§	Level of competition on gas and electricity markets	Score	The Ministry of Energy; National regulator
	1.	Is the ownership in energy market legally unbundled? (Vertically integrated structures or multiple providers?)	Yes/No	
	2.	Have the different consumers a possibility to choose suppliers in gas and electricity markets? (pull or contract-based market model)	Yes/No	
	3.	Have the suppliers a possibility to access the infrastructure on competitive basis? (Transmission and distribution networks, gas storages etc.)	Yes/No	
3.2.4 Energy efficiency				
	§	Energy intensity. Specify the consumption of energy per unit of GDP	Tons of oil equivalent / GDP in USD (at purchasing power parities), data for 2012 (if not - 2011)	The Ministry of Energy; World key energy statistics http://www.iea.org/textbase/nppdf/free/2011/key_world_energy_stats.pdf ; State Agency on Energy Efficiency/ Saving
	§	CO2 intensity, kg CO2 per '000 USD GDP (at PPP)	kg CO2 per '000 USD GDP (at PPP), 2012	
3.3 Transport: regulatory policy				

§	State ownership and management of the sector incumbents	Rails, roads, ports, airports	Ministry of transport, web-sites of national transport companies
§	Third parties access to transport infrastructure	Rails, roads (construction and maintenance), ports, airports	Ministry of transport, web-sites of national transport companies, and open sources
§	Vertically unbundled natural monopolies in transport	Rails, roads, ports, airports	Ministry of transport, web-sites of national transport companies, and open sources
§	Is there an independent transport regulator?	Rails, roads, ports, airports	Open sources
§	Is there an independent transport incidents investigating body?	Rails, roads, ports, airports	Open sources
§	Number of accidents	Per mln population, 2012	OECD

3.4 Environment and Sustainable Development

<i>3.4.1 Environmental policy</i>			
§	Is the Strategy and Action plan on National environmental policy adopted by the Parliament/ Government?	Yes/ No/ under preparation	Ministry of Environment
§	Is the Environmental policy integration as such demanded by national legislation?	Yes/ No/ under preparation	
§	Is the Action Plan on joining Shared Environmental Information System coordinated by EEA adopted by the Government?	Yes/No/ under preparation	
§	How many of the regional and global Environmental Conventions and Protocols your country signed, ratified and accessed?	Number List of Conventions and Protocols your country ratified (Annex).	
§		Yes/ No/ under	

	Was country decided by the MoP to be in non-compliance with main conventions and protocols with compliance mechanism?	consideration - ESPOO - Aarhus - Kyoto Protocol	
§	Are MEAs implementing instruments being adopted? (National laws/ underlaw acts, Implementation plans)?	Yes/No/ Under preparation	
§	Is country producing MEAs Annual Reports?	Yes/No	
3.4.2 Sustainable development policy			
§	Has a National Strategy of Sustainable Development been adopted?	Yes/No/ Under preparation-	Relevant Central Government body and/or Ministry of Environment
§	Is there an institutional mechanism for sustainable development policy coordination?	Yes/No/ under establishment	
§	Has a policy of sustainable consumption and production (under 10 Year Framework of Programmes) been adopted?	Yes/No/ Under preparation-	
3.4.3. Sustainable Development and Trade			
§	Of which ILO conventions the country is a party? Of which ILO conventions the country is a party?	Number	Relevant Central Government body
§	Is there an EU-compatible mechanism for prevention of illegal unreported and unofficial fishery?	Yes/ No/ under preparation	Relevant Central Government body and/or Ministry of Environment
§	Is the the Control system of legality of trade in forestry established?	Yes/ No	
3.4.4 Climate change			
§	Compliance with international commitments undertaken by your country in the context of the UN Framework Convention on Climate Change and Kyoto Protocol	Were National Actions Plan on climate change mitigation adopted? Yes/No/ Under preparation Were National Actions Plan on climate change adaptation: adopted? Yes/No/ Under preparation Are National Communications being sent regularly? Yes/No	Relevant Central Government body and/or Ministry of Environment

		<p>What year was the most recent sent to FCCC Secretariat?</p> <p>Was it approved by the Convention secretariat? Yes/No/ Under consideration</p>	
§	Is there a national framework policy document (strategy, doctrine) on climate change adopted?	Yes/No/ Under preparation	Ministry of Environment
	3.4.5 Resources efficiency		
§	Water Exploitation Index (water withdrawal as percent of annual long-term water resources)	%, 2012	Ministry of Environment, and experts calculation
§	Waste intensity: generation of industrial, hazardous and municipal waste	per GDP unit t/mln Euro, 2012	Ministry of Environment, and experts calculation
§	Waste intensity: municipal waste (total per year)	kg/per capita, 2012	Ministry of Environment, and experts calculation
§	Share of municipal waste recycled	%, 2012	Ministry of Environment, and experts calculation
	3.4.6 Pressure to state of environment		
§	Ratio between GHG emission reduction during last reporting period and the reduction potential	Ratio, 2012	
§	Waste waters	Share of non-treated waste waters in annual waste waters discharge	Ministry of Environment, data for 2012
§	SO2 emissions	Per capita, kg	
§	NOx emissions	Per capita, kg	
§	Forests	Share of forest area, %	
§	Nature	Share of nature protected area, %	

§	Soil	Eroded soil, share of territory, %	
§	Pesticides	Pesticides input, %	

3.5 Policy on education, culture, youth, information society, media, audiovisual policies

	<i>3.5.1 Education</i>		
§	Recently adopted or updated Law on higher education/ Law on universities	Yes/No specify	Ministry of Education
§	Three-cycle structure	When launched, How does it work, any deviations (parallel degrees from the previous system)	Ministry of Education
§	Implementation of European Credit Transfer System (ECTS) and the Diploma Supplement (DS)	ECTS: Yes/No, When launched DS: Yes/No, When it started being issued	Ministry of Education
§	Developed National Qualification Framework	Is there a NQF in your country?, If adopted, how does the system of mutual qualification recognition work in your country?	Ministry of Education
§	Please specify whether you observe the Autonomy of universities in your country (legal basis, statute)?	Organizational level Academic level Financial level Personnel level	Ministry of Education
§	Equal access to Higher education (EIE , university entry ex-ms, essays)	Indicate and describe the system	Ministry of Education, expert assessment
§	International students in your country (university level) – (2011-2013)	2011-13: Number of students / Per total number of students	Ministry of Education
§	Policy towards foreign students	Equal rights/not equal with home students/restrictive/ not restrictive	Ministry of Education, expert assessment

§	Life-long learning	Availability of national document: Yes/No/in progress	Ministry of Education, Country experts
	3.5.2 Cultural policy		
§	National document on cultural policy	Yes / No / in progress	Country experts
§	National Cultural Policy Review (CoE)	Yes / No	Council of Europe – department on culture, heritage and nature www.coe.int http://www.culturalpolicies.net/web/index.php
§	UNESCO Convention on the Protection and Promotion of the Diversity of Cultural Expressions	Launched / ratified / not launched	Country experts
§	National legislation and practice of competition-based support to cultural producers	Yes/No	Country experts
	3.5.3 Youth Policy		
§	National document on youth policy	Yes / No / in progress	Country experts
§	National Youth Research	Yes / No / in progress	Relevant ministry
§	Legal provision for volunteering	Yes / No / in progress	Country experts
§	Legal provision for youth work	Yes / No / in progress	Country experts
	3.5.4 Information society, media, audiovisual policies		
§	EU model of ICT regulations	Adopted / Not adopted / in progress	Ministry responsible for communication
§	Safer Internet Programmes	Implemented / No / in progress	Ministry responsible for communication

III. Management Dimension

SECTION			
1. Institutional arrangements for European integration (coordination and implementation)			
§	Is there a Strategy or comprehensive national program on European integration?	Yes/No	Country experts
§	Are budget resources reserved for implementation of the Strategy?	Yes/No	Country experts
§	Is this Strategy/Programme consistent (integrated) with the national reform strategy/programme or national development programme (we are interested whether European integration and domestic reforms are complementary or not)?	Yes/No	Country experts
§	Do the sectoral policy strategy documents include compliance with the EU standards as one of the objectives?	Yes/No	Country experts
§	Is there a collegial body (committee, council, commission) responsible for coordination of the European integration policy at the central government level?	Yes/No. Please specify.	Country experts
§	Is there an executive body (specific ministry or central office with permanent staff) in place responsible for coordination of the European integration policy?	Yes/No. Please specify.	Country experts
§	Does the official in charge of this institution possess powers to regularly coordinate Ministers' work regarding the EU integration policy implementation?	Yes/No	Country experts
§	Is there a mechanism established that monitors the implementation of the European Integration policy at the central government level? Which institution?	Yes/No. Please specify.	Country experts
§	Are regular reports elaborated from monitoring process with implementation results?	Yes/No	Country experts
§	Is the central government able to ensure appropriate implementation of the decisions and agreements reached in the field of European integration?	Yes/No	Country experts
§	Do the ministries and other central offices have specific structural units (departments, division, bureaus) solely dedicated to performing coordinating tasks related to the European	Yes/No	Country experts

	integration?		
§	Is the establishment of these structural units at the central government level mandatory (e.g. provided by law)?	Yes/No	Country experts
§	Do regional governments have specific structural units performing tasks related to the European integration?	Yes/No	Country experts
§	Is the establishment of these structural units at the regional governments' level mandatory (e.g. provided by law)?	Yes/No	Country experts
§	Does the Parliament have a specialised committee on European integration?	Yes/No	Country experts
2. Legal approximation mechanism			
§	Is there a separate legal act (primary or secondary), which regulates responsibilities, roles and procedures in the area of legal approximation with EU law?	Yes/No. Please specify the legal acts	Country experts
§	Does all draft legislation have to be scrutinised for compatibility with EU legislation? More precisely, is there a legal requirement or procedure for assessing compliance of all bills and regulations with the EU acquis?	Yes/No	Country experts
§	Is there a national plan for legal approximation (document containing a list of draft legal acts to be elaborated and adopted to achieve compliance with the EU law) in place?	Yes/No. Please specify when adopted and for how long.	Country experts
§	Is there a monitoring and reporting system or plan for legal approximation in place?	Yes/No. Please specify how regularly	Country experts
§	Is there a special coordinator for legal approximation – an official or an institution or both?	Yes/No. Please specify.	Country experts
§	Is there an inter-ministerial body responsible for considering legal approximation issues?	Yes/No. Please specify.	Country experts
§	Is there a database of translations of the EU acquis into national language available for government bodies and agencies and the public?	Yes/No. Please specify a link	Country experts
§	Is there a database of national legislation approved under the national plan for legal approximation available for the EU partners (in at least one official EU language)?	Yes/No. Please specify a link	Country experts
§	Is there special web-page or section on the	Yes/No. Please	Country experts

	government web-page devoted to legal approximation?	provide the link.	
§	Does the government establish specific positions within the legal services of ministries and central offices dealing with legal approximation?	Yes/No.	Country experts
§	Do you have studies and trainings on EU law available for public servants responsible for legal approximation?	Yes/No. Please specify.	Country experts
§	Is there a specific training public institution responsible for in-service training of government officials in the field of approximation with the EU acquis?	Yes/No. Please specify	Country experts
§	Do the government officials participate in training courses, workshops etc. on the EU law offered by private domestic and international organisation?	Yes/No. Please specify	Country experts
§	Are there any impact assessment reports or studies on legal approximation of national legislation to the EU acquis?	Yes/No. Please specify titles and data of issuing.	Country experts
§	Are expenditures for legal approximation envisaged as a separate budget item in the annual state budget or at least as specific budgetary lines in the budgets of ministries and other government agencies?	Yes/No. Please specify arrangements and the annual amounts for 2012	Country experts
§	Is there any horizontal national plan with budget implications (or sectoral plans) of institutional capacity needs and implementation tasks in order to fulfill the requirements resulting from legal approximation?	Yes/No. If applicable please specify in what sectors.	

3. Management of EU assistance

§	Is there a position of national coordinator in charge of the EU technical and financial assistance within the government?	Yes/No/ Please specify the official position of national coordinator and its location in the government structure	Country experts
§	Is there a single coordination centre, which brings the information together on all assistance instruments and sources?	Yes/No. Please specify where is it located?	Country experts
§	Is there a publicly available database on all assistance instruments and possibilities to apply for funds for government bodies and the public (e.g. NGOs)?	Yes/No. Please specify the link (-s)	Country experts

§	Is there any legal document that regulates delivery and use of external assistance (with possible division between technical assistance, budget support and assistance from international financial institutions)?	Yes/No. Please specify.	Country experts
§	Is there a mechanism of coordination of external assistance and donor activities at the national government level?	Yes/No. Please specify the arrangements.	Country experts
§	Is there an elaborated and regularly updated needs assessment country report for EU technical and financial assistance?	Yes/No	Country experts
§	Does the government have an established mechanism for monitoring and evaluation of assistance effectiveness?	Yes/No	Country experts
§	Does the government publish regular reports assessing impact of technical and financial assistance?	Yes/No. Please specify access to these documents.	Country experts
4. Training in the field of European integration			
§	Are there training programmes on European integration offered to public servants at central, regional and local levels?	Yes/No. Please specify	Country experts
§	Are there any specific budget allocations for training on European integration in the national budget or the budgets of ministries, central, regional and local offices?	Yes/No	Country experts
§	Are there any governmental reports (sporadic or prepared regularly) that assess training needs of public servants in the field of European integration?	Yes/No. Please specify	Country experts
	Is there any mechanism of assessing effectiveness of training programmes for government officials (civil servants and local self-government officials) in the field of European integration?	Yes/No. Please specify	
§	Are there specialised faculties at universities or colleges dealing with training and capacity building of government officials in the field of European integration?	Yes/No	Country experts
§	Are there special faculties and departments on European studies or European Law at universities?	Yes/No. Please specify	Country experts
§	Does the government support in any form (by financial means, by employment policy etc.) development of European studies at universities?	Yes/No. Please specify	Country experts

5. Public awareness raising about European integration			
§	Is there a national programme of awareness raising on European integration?	Yes/No	Country experts
§	Are there any budget allocations for the implementation of this programme?	Yes/No	Country experts
§	Does the government use EU funds to finance the public awareness campaign?	Yes/No. Please specify (examples)	
§	Are there any EU information points and/or centres established in the country (funded by the government or other donors)?	Yes/No. Number	Country experts
§	Is there any training/educational programs on EU for local journalists?	Yes/No	Country experts
§	Is there an effective system of coordination of implementation of the national programme of awareness raising on European integration on the central and regional levels?	Yes/No	Country experts
§	Is there a debate in the Parliament (e.g. once a year) dedicated to EU integration process and policies?	Yes/No	Country experts
6. Participation of civil society			
§	Is there a network/platform/forum bringing together non-governmental organisation dealing with European integration at the national level?	Yes/No. Please specify	Country experts
§	Do any institutionalised forms of public consultations take place between governmental officials dealing with the European integration and civil society (including business associations and other interested groups)?	Yes/No. Please specify.	Country experts
§	Are recommendations resulting from the consultations taken into account by policy-makers?	Yes/No	Country experts
§	Do NGOs produce regular reports and make them public on their own assessment on government performance and progress on European integration?	Yes/No Please specify.	Country experts
§	Do NGOs provide the government and the public with their own assessment on how the EU assistance is being used in their country and whether it is efficient?	Yes/No. Please specify access to these documents	Country experts

§	Do NGOs conduct awareness raising campaigns on European integration?	Yes/No. Please specify (examples)	Country experts
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